




3761  
Jm

PATENT--NO FEE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: ) I hereby certify that this  
ANDREAS POPP ET AL. ) paper is being deposited  
Serial No.: 10/516,698 ) with the United States  
Filed: December 1, 2004 ) Postal Service with  
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POLYALKOXYLATED TRIMETHYLOLPROPANE ) first class mail, in an  
Attorney Docket No. 29827/40662 ) envelope addressed to:  
Group Art Unit: 3761 ) MS Amendment  
Examiner: Unassigned ) Commissioner for Patents  
 ) P.O. Box 1450  
 ) Alexandria, VA 22313-1450  
 ) Dated: October 7, 2005  
 )  
 )   
 ) James J. Napoli  
 ) Registration No. 32,361  
 ) Attorney for Applicants

INFORMATION DISCLOSURE STATEMENT

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to their duty of disclosure under 37 C.F.R. §1.56, applicants hereby bring to the examiner's attention patent documents that may be material to the examination of the above-identified application. Therefore, in compliance with 37 C.F.R. §1.97 and §1.98, applicants enclose a completed Form PTO-1449 listing the possibly pertinent patent documents and a copy of each non-U.S. patent document.

This Information Disclosure Statement is submitted more than three months after the filing date of the above-identified application, and, to applicants'

knowledge, before the mailing date of a first Office Action on the merits. Therefore, under 37 C.F.R. §1.97(b), this Information Disclosure Statement shall be considered by the Patent Office.

The Commissioner, however, is hereby authorized to charge any fee which may be required during the pendency of this application under 37 C.F.R. 1.16 or 37 C.F.R. 1.17 to Deposit Account No. 13-2855. A duplicate copy of this Transmittal is enclosed herewith.

We also enclose a copy of a PCT Search Report for the convenience of the examiner. Some of the cited U.S. patents are English-language equivalents of European and PCT citations on the attached Form 1449.

Respectfully submitted,

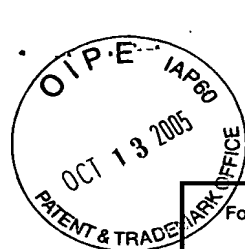
**MARSHALL, GERSTEIN & BORUN LLP**

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October 7, 2005



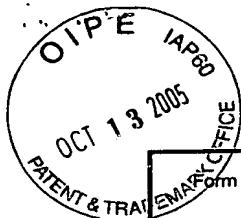
<b>Form 1449PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/516,698		
		Filing Date	December 1, 2004		
		First Named Inventor	Andreas Popp		
		Group Art Unit	3761		
		Examiner Name	Unassigned		
Sheet	1	of	2	Attorney Docket Number	29827/40662

U.S. PATENT DOCUMENTS			
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY
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		6,395,830	05/28/2002
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Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY
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		0 264 841 (EPO)	04/27/1988
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		WO 01/41818 (PCT)	06/14/2001
		WO 01/45758 (PCT)	06/28/2001
		WO 01/56625 (PCT)	08/09/2001

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<b>Form 1449PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/516,698
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				Group Art Unit	3761
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	29827/40662

OTHER PRIOR ART – NONPATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		H.C. Miller, <i>Radtech</i> , 91, 321-324 (1991).

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.